



# National Forum for Background Checks

AHFSA Annual Conference  
Background Screening Interest Track  
August 21-23, 2017

**Introduction to the National Background Check  
Program: Statewide Pre-Employment Screening  
for LTC Workers**

**James Joslin, OK; Alex Netten, ME; Meghan Shears, WV**

# Introduction to the National Background Check Program: Statewide Pre-employment Screening for LTC Workers



- ▶ Objective: This session will convey the status of the Grant Program and the National Forum, and introduce State pre-employment screening program activities for the states of Maine and West Virginia.
- ▶ Questions from interested States: Our conference goal is dialog. In this session, representatives from interested States are encouraged to ask questions; describe their challenges for efficiency and equity; and exchange information.

# Status of the CMS Grant Program and the National Forum for Background Checks



- ▶ CMS Grant Program Status
- ▶ National Forum Status
  - ▶ History/origination, Vision, Mission
  - ▶ Membership
  - ▶ Activities & Initiatives
    - ▶ Task 1: Establish Communication Infrastructure
    - ▶ Task 2: Develop Library
    - ▶ Task 3: Expand Data Sharing Among States
    - ▶ Task 4: Develop contract templates or a multi-State contract vehicle for technical services
    - ▶ Task 5: Advocacy for more effective, efficient, and equitable background checks to protect vulnerable populations
    - ▶ Task 6: Identify, Organize, and Participate in Forum meetings and other relevant conferences
  - ▶ CNA Support
  - ▶ Future Forum Plans



# Maine: Alex Netten

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- ▶ How we decided to pursue a grant
  - ▶ Maine is very rural
  
  - ▶ Oldest state in the country
    - ▶ Aged 65+:
      - 2010 15.9%
      - 2016 19.4%
  
  - ▶ No BGC standards in state
    - ▶ 2011 survey
      - Criminal history 73%
      - Professional licensing 37%
      - OIG 48%



# Maine: Alex Netten

- ▶ How we decided to pursue a grant
  - ▶ 10 different departmentally separate data sources
    - ▶ **Division of Licensing and Certification**
      - ▶ *Certified Nurse Aide Registry*
      - ▶ *Direct Care Worker Registry*
      - ▶ *Facility Licensing Management Systems*
    - ▶ **Office of Aging and Disability Services**
      - ▶ *Adult Abuse Registry*
    - ▶ **Office of Child and Family Services**
      - ▶ *Child Abuse Registry*
    - ▶ **Maine State Bureau of Identification**
      - ▶ *Sex Offender Registry*
      - ▶ *Criminal History Record Information System*
      - ▶ *Automated Fingerprint Identification System*
    - ▶ **Office of Professional and Financial Regulation**
      - ▶ *Agency Licensing and Management System*
    - ▶ **AG's Office, Division of Audit, Office of Program Integrity**
      - ▶ *Maine's Excluded Providers List*
  - ▶ Maine decided it needed help to identify the efficient, effective, and economical procedures necessary to conduct background checks on prospective workers



# Maine: Alex Netten

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## ▶ Grant Initiatives

- ▶ Authorizing legislation included childcare as part of mandated users of Maine Background Check Center (MBCC)
- ▶ Protect growing elderly population and children while at the same time expand workforce opportunities

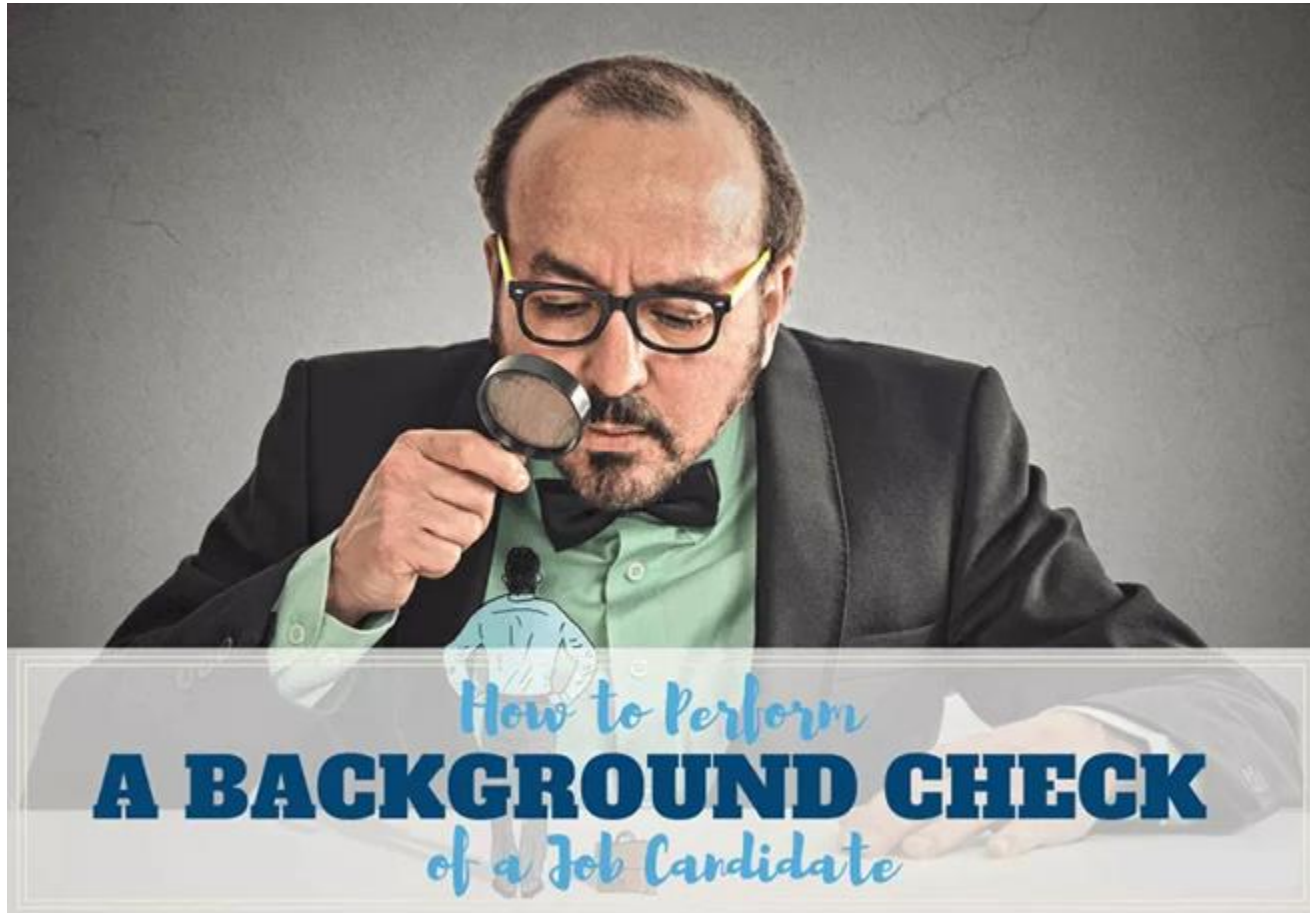


# West Virginia: Meghan Shears

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- ▶ How we decided to pursue a grant
  - ▶ Grant would give WV the ability to begin standardizing background check requirements
  
- ▶ Grant Initiatives
  - ▶ Streamline prescreening/background check process
  - ▶ Grow partnership with the WV State Police
  - ▶ Rap Back
  - ▶ Standardize background check requirements within LTC

# Questions from States?



*How to Perform*  
**A BACKGROUND CHECK**  
*of a Job Candidate*